



#5 2852

Attorney Docket No. CS5K-020CP3

55814-028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

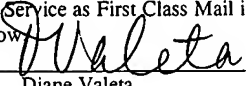
In re Application of: Allan N. Weiss
Serial No. 10/087,339
Filing Date: March 1, 2002
Title: PROXY ASSET SYSTEM AND METHOD
Art Unit 2852

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CERTIFICATE OF MAILING (37 C.F.R. § 1.8(1))

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Date: 12-4-02


Diane Valeta

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicant and his attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed more than three months from the filing date of the application, but before the receipt of any Official Action from the Patent and Trademark Office.

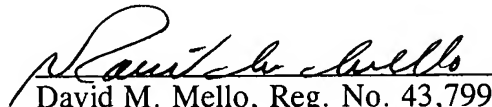
This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

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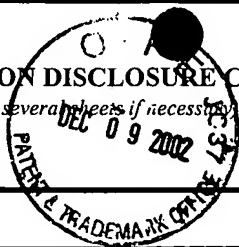
GROUP 3600

Date: December 4, 2002

BST99 1324741-1.065814.0028

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)
CSSK EP3

Application Number
10/087,339

Applicant(s)
Weiss

Filing Date
March 1, 2002

Group Art Unit
2852

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO95/06290	02MAR95	WO - Wells Fargo Nikko				

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Goodman, Jordan E., "Guide to Secure Investing," Dearborn Financial Publishing, Inc., 1994, pp. 115-122

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.